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Docket No.: 102863-2  
(PATENT)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of: Ronald J. Kolata, et al.

Application No.: 09/845,898

Group Art Unit: 3739

Confirmation No.: 4070

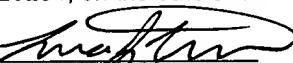
Examiner: Not Yet Assigned

Filed: April 30, 2001

For: HEART PRESENTATION DEVICE  
AND METHOD

I hereby certify that this correspondence is being deposited with the U.S. Postal Service with sufficient postage as First Class Mail, in an envelope addressed to: **BOX MISSING PARTS**, Commissioner for Patents, Washington, DC 20231, on the date shown below.

Dated: Aug. 10, 2001

Signature:   
(Lisa J. Michaud)

**BOX MISSING PARTS**  
Commissioner for Patents  
Washington, DC 20231

**RESPONSE TO NOTICE TO FILE MISSING PARTS**  
**OF NON-PROVISIONAL APPLICATION**

Dear Sir:

In response to the Notice to File Missing Parts of Non-Provisional Application—Filing Date Granted mailed June 25, 2001; Applicant respectfully submits the following documents:

1. Fee Transmittal Form;
2. Part 2 of PTO Form of Notice to File Missing Parts of Non-Provisional Application;
3. Executed Declaration and Power of Attorney Form;
4. Request for Correction of Filing Receipt together with a copy of the filing receipt with changes marked in red ink; and
5. Return postcard.

Our check in the amount of \$130.00 covering the fee set forth in 37 CFR 1.16(e) is enclosed. The Commissioner is hereby authorized to charge any deficiency in the fees filed, asserted to be filed or which should have been filed herewith (or with any paper hereafter filed in this application by this firm) to our Deposit Account No. 141449, under Order No. 102863-2.

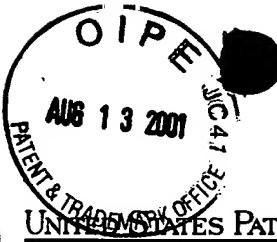
Dated: Aug. 10, 2001

Respectfully submitted,

By   
Lisa J. Michaud

Registration No.: 44,238  
NUTTER, MCCLENNEN & FISH, LLP  
One International Place  
Boston, Massachusetts 02110-2699  
(617) 439-2550 (Telephone)  
(617) 310-9550 (Facsimile)  
Attorney for Applicant

1014021.1



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/845,898	04/30/2001	Kolata J. Ronald	102863-2

021125  
NUTTER MCCLENNEN & FISH LLP  
ONE INTERNATIONAL PLACE  
BOSTON, MA 02110

**CONFIRMATION NO. 4070**  
**FORMALITIES LETTER**



OC000000006219299\*

Date Mailed: 06/25/2001

**NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION****FILED UNDER 37 CFR 1.53(b)*****Filing Date Granted***

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 130.**

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE



Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

# FEE TRANSMITTAL for FY 2001

*Patent fees are subject to annual revision.*

TOTAL AMOUNT OF PAYMENT (\$ 130.00)

## Complete if Known

Application Number	09/845,898 (Conf. No. 4070)
Filing Date	April 30, 2001
First Named Inventor	Ronald J. Kolata
Examiner Name	Not Yet Assigned
Group Art Unit	3739
Attorney Docket No.	102863-2

## METHOD OF PAYMENT

1.  The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number **141449**

Deposit Account Name

Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17  Applicant claims small entity status. See 37 CFR 1.27

2.  Payment Enclosed

Check  Credit Card  Money Order  Other

## FEE CALCULATION (continued)

### 3. ADDITIONAL FEES

Large Entity	Small Entity	Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)
105	130	205	65
127	50	227	25
139	130	139	130
147	2,520	147	2,520
112	920*	112	920*
113	1,840*	113	1,840*
115	110	215	55
116	390	216	195
117	890	217	445
118	1,390	218	695
128	1,890	228	945
119	310	219	155
120	310	220	155
121	270	221	135
138	1,510	138	1,510
140	110	240	55
141	1,240	241	620
142	1,240	242	620
143	440	243	220
144	600	244	300
122	130	122	130
123	50	123	50
126	180	126	180
581	40	581	40
146	710	246	355
149	710	249	355
179	710	279	355
169	900	169	900
Other fee (specify) _____			SUBTOTAL (3) (\$ 130.00)

\*Reduced by Basic Filing Fee Paid

2. EXTRA CLAIM FEES	Extra Claims	Fee from below	Fee Paid
Total Claims	** =	X =	=
Independent Claims	** =	X =	=
Multiple Dependent			=
<b>SUBTOTAL (2) (\$)</b>			

\*\*or number previously paid, if greater; For Reissues, see above

## Complete (if applicable)

Name (print/type)	Lisa J. Michaud	Registration No. (Attorney/Agent)	44,238	Telephone	(617) 439-2550
Signature				Date	8/10/01

## Fee Transmittal

I hereby certify that this correspondence is being deposited with the U.S. Postal Service with sufficient postage as First Class Mail, in an envelope addressed to: BOX MISSING PARTS, Commissioner for Patents, Washington, DC 20231, on the date shown below.

Dated: **8/10/01**

Signature: (Lisa J. Michaud)



Docket No.: 102863-2  
(PATENT)

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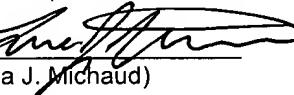
Examiner: Not Yet Assigned

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Dated: Aug. 10, 2001

Signature:   
(Lisa J. Michaud)

**BOX MISSING PARTS**  
Commissioner for Patents  
Washington, DC 20231

**REQUEST FOR CORRECTION OF FILING RECEIPT**

Dear Sir:

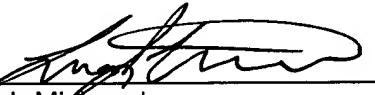
Applicant respectfully requests a corrected filing receipt for the above-referenced patent application. The following changes are requested:

1. The correct full name of the first inventor is: Ronald J. Kolata;
2. The correct spelling of the residence for the second inventor is: Maineville, OH;
3. The correct full name of the third inventor is: Christopher J. Hess;
4. The correct full name of the fourth inventor is: Gary W. Knight; and
5. The correct full name of the fifth inventor is: Kristin L. Jambor.

Enclosed is a copy of the filing receipt with the desired changes marked in red ink. Once the changes have been made, please forward the corrected filing receipt at your earliest convenience. Should any questions arise concerning the foregoing, please contact the undersigned at the telephone number listed below.

Dated: Aug. 10, 2001

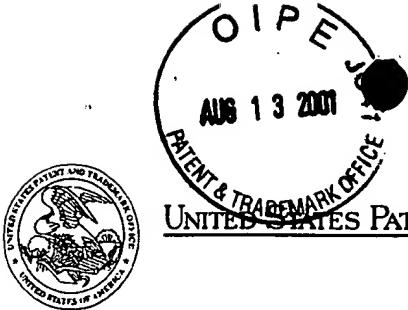
Respectfully submitted,

By   
Lisa J. Michaud

Registration No.: 44,238

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Attorney for Applicant

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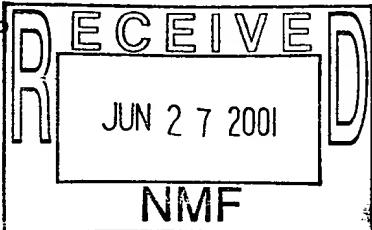
## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
[www.uspto.gov](http://www.uspto.gov)

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY.DOCKET.NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/845,898	04/30/2001	3739	1016	102863-2 ✓	8	37	3

CONFIRMATION NO. 4070

021125  
NUTTER MCCLENNEN & FISH LLP  
ONE INTERNATIONAL PLACE  
BOSTON, MA 02110



FILING RECEIPT



\*OC00000000621929\*

Date Mailed: 06/25/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s)

Kolata J. Ronald, Cincinnati, OH; RONALD J. KOLATA  
 Michael F. Clem, Maineville, OH; MAINEVILLE OH  
 Hess J. Christopher, Lebanon, OH; CHRISTOPHER J. HESS  
 Knight W. Gary, Westchester, OH; GARY W. KNIGHT  
 Kristin J. Jambor, Cincinnati, OH; KRISTIN L. JAMBOR  
 David L. Hamann, Cincinnati, OH;

## Domestic Priority data as claimed by applicant

## Foreign Applications

If Required, Foreign Filing License Granted 06/22/2001

Projected Publication Date: To Be Determined - pending completion of Missing Parts

Non-Publication Request: No

Early Publication Request: No

## Title

Heart positioning device and method

Preliminary Class

606

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Data entry by : CETIN, CHIN

Team : OIPE

Date: 06/25/2001

